16-101-01



## **UTILITY PATENT APPLICATION TRANSMITTAL**

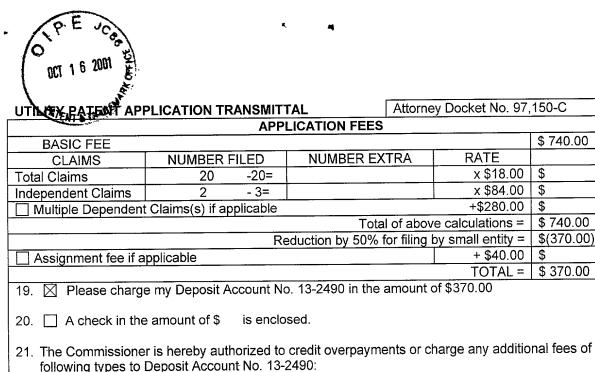
Submit an original and a duplicate for fee processing (Only for new nonprovisional applications under 37 CFR 1.53(b))

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(Only for new nonprovisional applications under 37 CFR 1.53(b),

ADDRESS TO:
Attorney Docket No. 97

ADDRESS TO:	Attorney Docket No. 97,150-C			
Assistant Commissioner for Patents Box Patent Application	First Named Inventor Fruehauf			
Washington, D.C. 20231	Express Mail No. EL921553211US			
APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS			
<ol> <li>Transmittal Form with Fee</li> <li>Specification (including claims and abstract) [Total Pages 23]</li> <li>Drawings [Total Sheets 7]</li> <li>Oath or Declaration [Total Pages 2]</li> </ol>	9. Assignment Papers 10. Power of Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS) PTO-1449 Form			
a. Newly executed b. Copy from prior application [Note Boxes 5 and 18 below] i. Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application	Copies of IDS Citations  13. Preliminary Amendment  14. Return Receipt Postcard (Should be specifically itemized)  15. Certified Copy of Priority Document(s)  16. A Request for non-publication pursuant to			
5. Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein	35 USC 122(b)(2)(B)(i)			
6. Computer Code Listing (See 1.96) a. Microfiche Appendix b. CD-Rom (in duplicate, with separate transmittal)				
7. Nucleotide and/or Amino Acid Sequence Submission a. Computer Readable Copy b. Paper Copy c. Statement verifying above copies				
<ul> <li>8. Small Entity Status</li> <li>is claimed</li> <li>Statement filed in prior application;</li> <li>status still proper and desired</li> <li>is no longer claimed.</li> </ul>				
18. A This is a CONTINUING APPLICATION. PIGE	ease note the following:			
a. ☑ This is a ☐ Continuation ☑ Divisional ☐ Continuation-in-part of prior application sn 09/199,217, filed November 28, 1998.				
<ul> <li>b.          Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)</li> </ul>				
<ul> <li>c. ☐ Amend the specification by inserting before the first line the sentence:         This is a ☐ continuation ☐ divisional ☐ continuation-in-part         of application Serial No</li> </ul>				
d. 🛛 The prior application is assigned of record to Oncotech, Inc				



21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the

\$ 740.00

\$ 740.00

\$

Fees required under 37 CFR 1.16.

 $\boxtimes$ Fees required under 37 CFR 1.17. b.

Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

## 23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

24. USPTO CUSTOMER NUMBER

10/23/2001 MMEKQNEN 00000030 132490 Sale Ref: 00000070 DAM: 132490 0998 01 FC:201 370.00 CH 09982286

PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert & Berghoff

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED Kevin E./Noonan Name 35,303 Reg. No. Signature October 16, 2001 Date

UTIL (Rev. 11/21/00)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 97,150-C)

_		PATENT		
In app	plication of:	)		
	Fruehauf	<ul><li>Before the Examiner:</li><li>J. Burke</li></ul>		
Serial	l No. To be assigned	) Croup Art Unit: 1642		
Filed:	: October 16, 2001	) Group Art Unit: 1642 )		
For:	Methods for Cancer Prognosis and Diagnosis	)		
TRANSMITTAL LETTER				
	missioner for Patents ington, D.C. 20231			
Sir:				
In regard to the above identified application:				
1.	1. We are transmitting herewith the attached			
	Preliminary Amendment			
2.	A filing fee of \$370.00 is required.			
3.	Please charge the full amount of any required fee or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.			
5. CERTIFICATE UNDER 37 CFR 1.10: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 herein above, are being sent via U.S. Postal Service as Express Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on this 16 <sup>th</sup> day of October 2001.  By:  Kevin E. Noonan, Ph.D.  Reg No. 35,303				